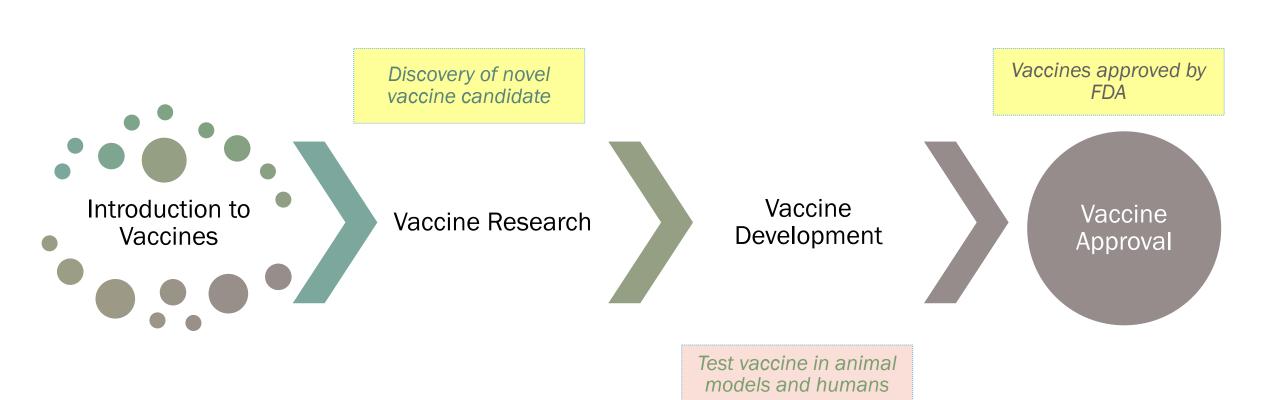
VACCINE RESEARCH, DEVELOPMENT, AND APPROVAL

2021 HOWARD L. BOST MEMORIAL HEALTH POLICY FORUM

Erome Daniel Hankore, PhD







Source: CDC

Disease: smallpox

WHAT IS A VACCINE?

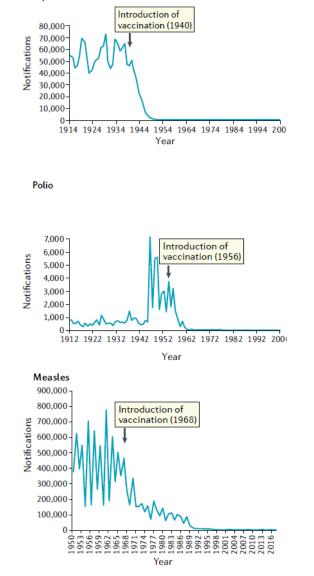
"A vaccine is a *biological product* that can be used to safely induce an immune response that confers <u>protection</u> against infection and/or <u>disease</u> on subsequent exposure to a <u>pathogen</u>."

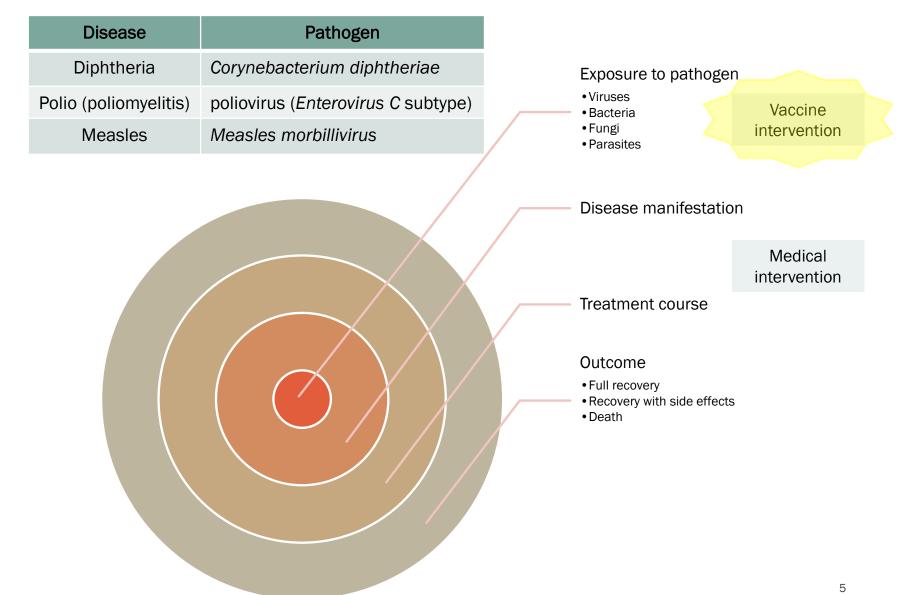
 Protection: the individual will not develop the disease when exposed to the pathogen against which the vaccine has been administered.

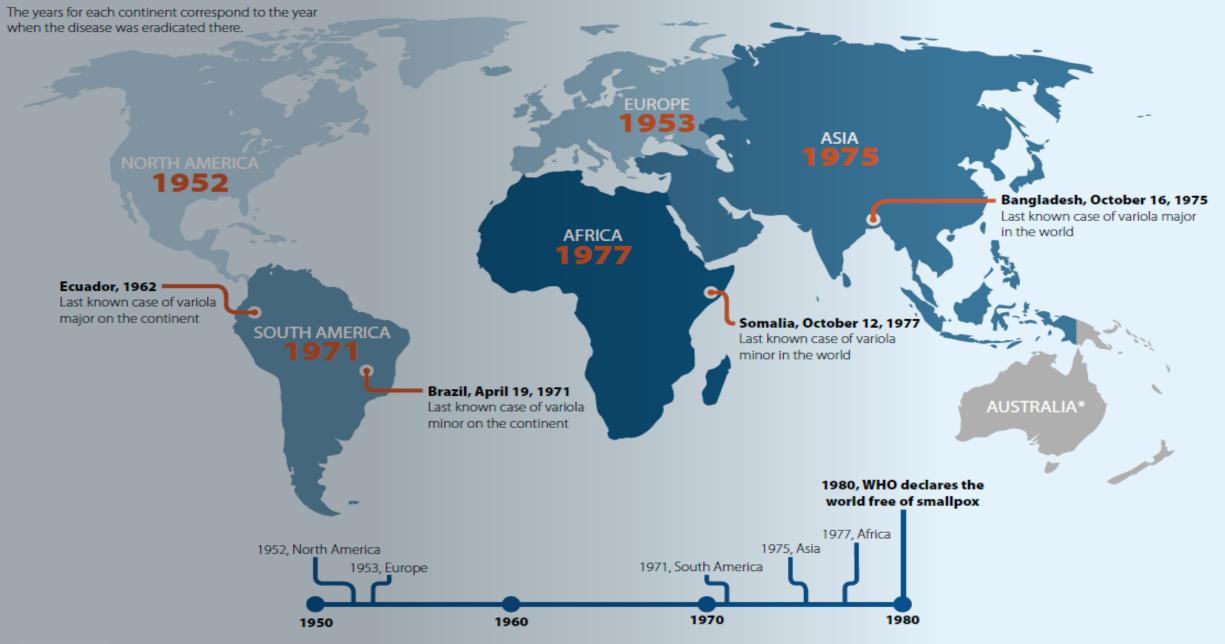
The essential component of a vaccine is an <u>antigen</u> from the pathogen.

• Antigen: parts of the pathogen or killed whole organisms that can induce an immune response





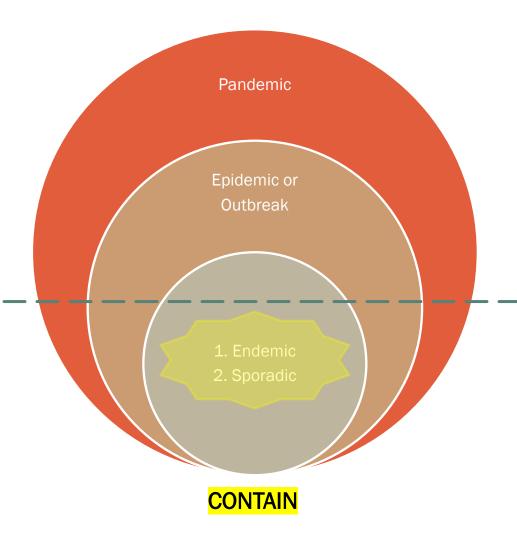




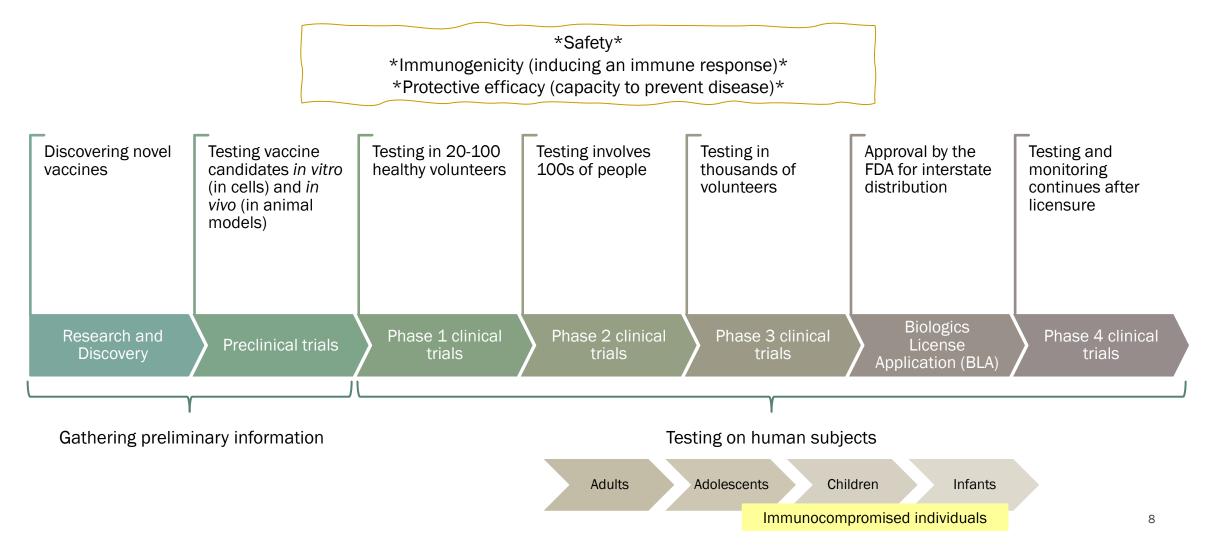


BENEFITS OF VACCINES

- Reduce transmission rate in communities
- Reduce disease severity and long-term negative outcomes
- Reduce case-fatality rates among infected individuals
- Prevent emergence of more virulent and pathogenic strains
- Proven and safe means of building an effective immune response



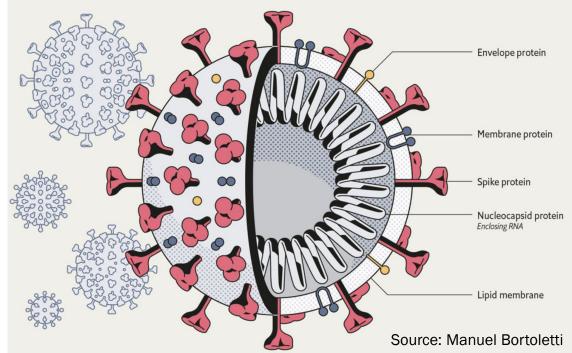
VACCINE RESEARCH, DEVELOPMENT AND APPROVAL PROCESS



RESEARCH AND DISCOVERY STAGE

"A vaccine is a *biological product* that can be used to safely induce an immune response that confers <u>protection</u> against infection and/or <u>disease</u> on subsequent exposure to a <u>pathogen</u>."

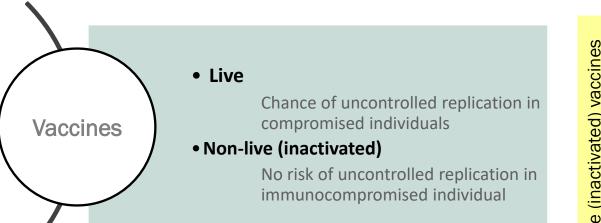
- 1. Develop the vaccine candidate
- 2. Test vaccine in preclinical trials



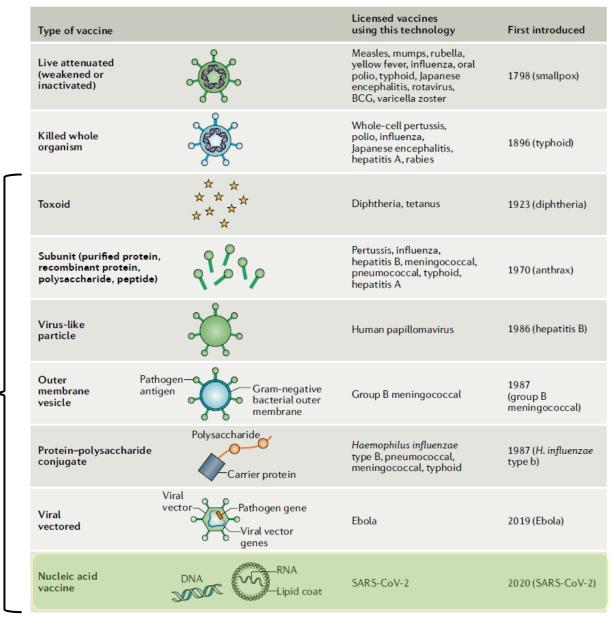
RESEARCH AND DISCOVERY STAGE

1. **DEVELOP VACCINE**

"A vaccine is a *biological product*..."

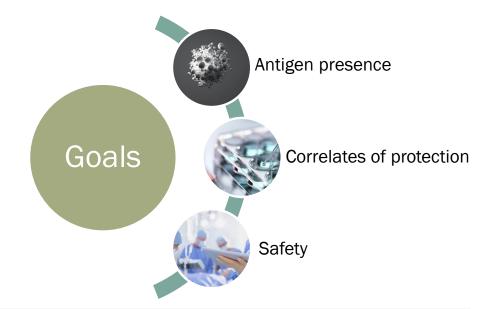


Non-live (inactivated) vaccines



RESEARCH AND DISCOVERY STAGE

- 2. TEST VACCINE IN PRECLINICAL TRIALS
 - 1. <u>Confirm</u> expression of antigen
 - 2. <u>Characterize</u> immune response
 - 3. <u>Check</u> for any adverse effects

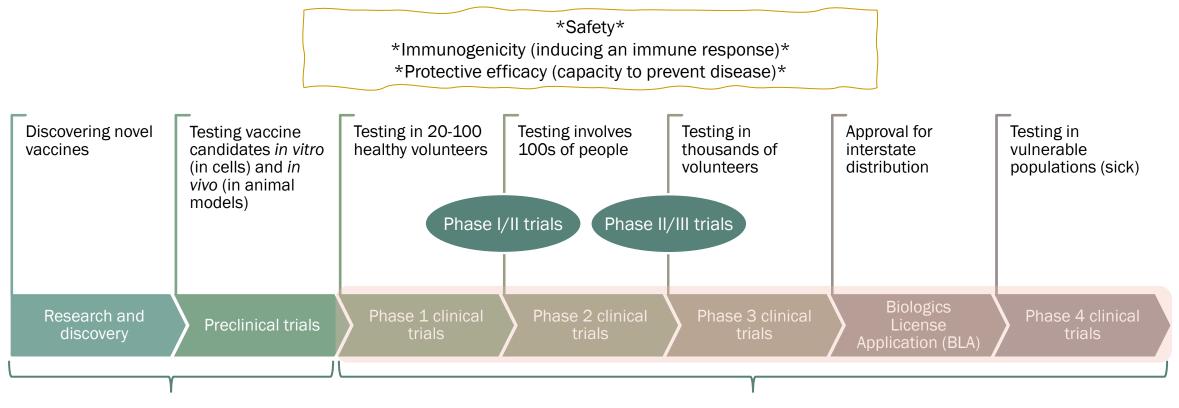


PFIZER AND BIONTECH ANNOUNCE DATA FROM PRECLINICAL STUDIES OF MRNA-BASED VACCINE CANDIDATE AGAINST COVID-19

Wednesday, September 09, 2020 - 07:45am

- Immunization of non-human primates (rhesus macaques) with BNT162b2, a nucleoside-modified messenger RNA (modRNA) candidate that expresses the SARS-CoV-2 spike glycoprotein, resulted in strong anti-viral effects against an infectious SARS-CoV-2 challenge
- BNT162b2 immunization prevented lung infection in 100% of the SARS-CoV-2 challenged rhesus macaques, with no viral RNA detected in the lower respiratory tract of immunized and challenged animals. The BNT162b2 vaccination also cleared the nose of detectable viral RNA in 100% of the SARS-CoV-2 challenged rhesus macaques within 3 days after the infection
- The BNT162b2 vaccine candidate induced SARS-CoV-2 neutralizing antibodies in rhesus macaques, pseudovirus neutralizing antibodies in mice, and strong, antigen-specific CD4+ and CD8+ T cells in mice and macaques

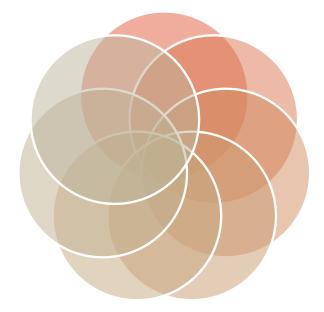
CLINICAL TRIALS



Gathering preliminary information

Testing on human subjects

ALL CLINICAL TRIALS



Guidance for Industry

Toxicity Grading Scale for Healthy Adult and Adolescent Volunteers Enrolled in Preventive Vaccine Clinical Trials

Clinical or Laboratory abnormalities Grade 1: Mild Grade 2: Moderate Grade 3: Severe Grade 4: Potentially life threatening

Additional copies of this guidance are available from the Office of Communication, Training and Manufacturers Assistance (HFM-40), 1401 Rockville Pike, Suite 200N, Rockville, MD 20852-1448, or by calling 1-800-835-4709 or 301-827-1800, or from the Internet at http://www.fda.gov/cber/guidelines.htm.

For questions on the content of this guidance, contact the Division of Vaccines and Related Products Applications, Office of Vaccines Research and Review at 301-827-3070.

> U.S. Department of Health and Human Services Food and Drug Administration Center for Biologics Evaluation and Research September 2007

RESOURCES

Article | Published: 12 August 2020

Phase I/II study of COVID-19 RNA vaccine BNT162b1 in adults

Mark J. Mulligan, Kirsten E. Lyke, Nicholas Kitchin, Judith Absalon 🗁, Alejandra Gurtman, Stephen Lockhart, Kathleen Neuzil, Vanessa Raabe, Ruth Bailey, Kena A. Swanson, Ping Li, Kenneth Koury, Warren Kalina, David Cooper, Camila Fontes-Garfias, Pei-Yong Shi, Özlem Türeci, Kristin R. Tompkins, Edward E. Walsh, Robert Frenck, Ann R. Falsey, Philip R. Dormitzer, William C. Gruber, Uğur Şahin & Kathrin U. Jansen

Nature 586, 589–593 (2020) Cite this article

328k Accesses | 349 Citations | 3452 Altmetric | Metrics

3 A Publisher Correction to this article was published on 19 January 2021

1 This article has been updated

Abstract

Row

Saved

1

In March 2020, the World Health Organization (WHO) declared coronavirus disease 2019 (COVID-19), which is caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)¹, a pandemic. With rapidly accumulating numbers of cases and deaths reported globally²,

NIH U.S. National Library of Medicine ClinicalTrials.gov

Find Studies -About Studies 🔻 Submit Studies 🔻 Resources -About Site 🔻 PRS Login

ClinicalTrials.gov is a database of privately and publicly funded clinical studies conducted around the world.

Find a study (all fields optional) Explore 389,077 research studies in all 50 states and in 219 countries. Status 🚯 See listed clinical studies related to the O Recruiting and not yet recruiting studies coronavirus disease (COVID-19) All studies ClinicalTrials.gov is a resource provided by the Condition or disease () (For example: breast cancer) U.S. National Library of Medicine. x Study Title Conditions Interventions Locations Status Recruiting Study to Describe the Safety, Tolerability, Immunogenicity, and Efficacy of RNA Vaccine Candidates Against COVID-19 in Healthy Individuals SARS-CoV-2 Infection Biological: BNT162b1 North Alabama Research Center, LLC Athens, Alabama, United States COVID-19 Biological: BNT162b2 · Birmingham Clinical Research Unit · Other: Placebo Birmingham, Alabama, United States Biological: BNT162b2SA Medical Affiliated Research Center Huntsville, Alabama, United States (and 163 more...) IgG concentrations and SARS-CoV-2 neutralizing titres in sera increased with dose level and

after a second dose. Geometric mean neutralizing titres reached 1.9-4.6-fold that of a panel

of COVID-19 convalescent human sera, which were obtained at least 14 days after a positive

SARS-CoV-2 PCR. These results support further evaluation of this mRNA vaccine candidate.

PHASE I: PROTECTIVE EFFICACY TRIALS

- Vaccine must have already been tested in preclinical trials
- Small number of healthy, immunocompetent volunteers
- Intended to investigate underlying immunological mechanisms (e.g., immunological memory and antibody production postvaccination)
- Gain experience with vaccine before testing in larger populations (e.g., mode of administration, injection site, etc.)
- May or may not be controlled

"The enrollment of healthy volunteers warrants a very low tolerance for risk in those clinical trials."

PHASE I: FIRST TRIALS IN HUMANS

Phase I

• Objectives:

- Establish safety: symptoms associated with immune response such as fever, swelling, etc.
- Collect data on immune response
- Administration protocol
 - Delivery method
 - Dose

Test group

- Size: A small group of 20-100 of naïve and immunocompetent human subjects
- Age group: first trials in humans, target population

Phase I: Pfizer-BioNTech mRNA vaccine

• Objectives:

- Establish safety: primary event was pain at injection site
- Immune response: neutralizing antibodies observed in sera
- Administration protocol
 - Delivery method: 10, 20, 30 or 100 μg into the deltoid (arm)
 - 2 doses at 21-day intervals
- Test Group:
 - Size
 - 13 groups of 15 participants (total 195)
 - 12 received vaccine and 3 received placebo
 - Age group: 18-55 and 65-85

PHASE II: TESTING IN A TARGET POPULATION

- Safety and immunogenicity
- Larger number of participants, typically in the 100s of people
- Intended to extract as much information about the vaccine as possible (dose, vaccine schedule, vaccine composition, age-specific responses, duration of immune response, reactions to the vaccine)
- Continue gaining experience with vaccine before testing in larger populations
- Control and vaccine groups
- Clinical evaluators, laboratory staff and participants themselves are unaware about who is in the control or vaccine groups until trail has been completed.

PHASE II: TESTING IN A TARGET POPULATION

Phase II

• Objectives:

- Optimize vaccine use and delivery conditions
 - Optimal dose
- Plan for phase 3 trials

Test group

- Size: A small group of 50-500 of naïve and immunocompetent human subjects
- Age group: Vaccine target population

Phase I/II: Pfizer BioNTech (mRNA)

• Objectives:

- Optimize vaccine use and delivery conditions
 - Optimal dose test: 10, 30 or 100 µg, 1 or 2 doses 21 days apart
 - Also tested single doses of 100 μ g
- Prepare for Phase II/III trials
- Test group
 - Size: 76 participants
 - Age group: 19-54 years

PHASE I/II: INITIAL SAFETY TRIALS AND TARGET POPULATIONS

PFIZER AND BIONTECH SHARE POSITIVE EARLY DATA ON LEAD MRNA VACCINE CANDIDATE BNT162B2 AGAINST COVID-19

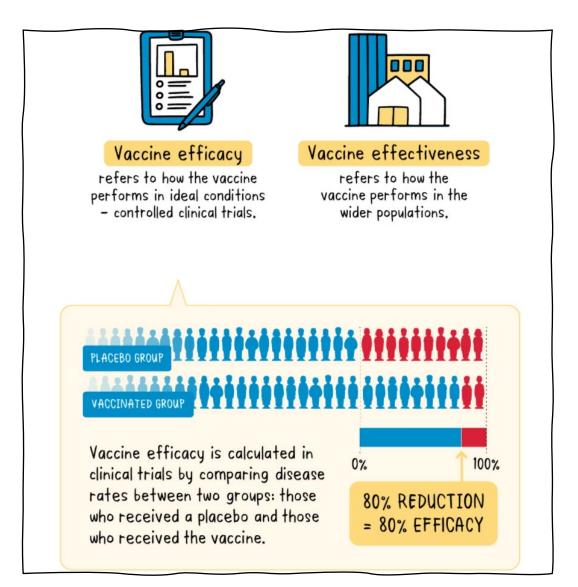
Thursday, August 20, 2020 - 08:00pm

- In a Phase 1 study in the U.S., at 7 days after a second dose of 30µg, BNT162b2 elicited SARS-CoV-2-neutralizing geometric mean titers (GMTs) in younger adults (18-55 years of age) that were 3.8 times the GMT of a panel of 38 sera of SARS-CoV-2 convalescent patients, and in older adults (65-85 years of age) the vaccine candidate elicited a neutralizing GMT 1.6 times the GMT of the same panel, demonstrating strong immunogenicity in younger and older adults.
- The companies previously announced that BNT162b2-vaccinated human participants displayed a favorable breadth of epitopes recognized in <u>T cell responses specific to the SARS-CoV-2 spike antigen</u>, and that BNT162b2 demonstrated concurrent induction of high magnitude CD4+ and CD8+ T cell responses against the receptor binding domain (RBD) and against the remainder of the spike glycoprotein
- Across all populations, BNT162b2 administration was well tolerated with mild to moderate fever in fewer than 20% of the participants
- These results informed the selection of the <u>BNT162b2 candidate for the pivotal Phase 2/3 global study in up to 30,000 participants that started in July 2020</u>, which has to date enrolled more than 11,000 participants, including in areas with significant SARS-CoV-2 transmission
- Assuming clinical success, Pfizer and BioNTech are on track to seek regulatory review of BNT162b2 as early as October 2020 and, if regulatory authorization or approval is
 obtained, currently plan to supply up to 100 million doses worldwide by the end of 2020 and approximately 1.3 billion doses by the end of 2021

PHASE III: PROTECTIVE EFFICACY TRIALS

Study protective efficacy

- "The primary purpose of a phase III trial is to assess the protective efficacy of the vaccine in the target population."
- Monitor vaccinated and unvaccinated participants for disease incidence over various periods of time to determine protection levels
- 1000-150,000 participants (determined by incidence of disease)
- Randomized, double-blind control trial: participants randomly allocated to placebo or vaccine groups or groups that receive nothing
- Mimic "field" conditions
- Large trials that may last up to several years
- Sufficient data allows for application of license with the FDA





If a vaccine has an efficacy of 80 percent:

It does not mean that the vaccine will only work 80% of the time.

It does mean that in a vaccinated population, 80% fewer people will contract the disease when they come in contact with the virus.



https://www.who.int/news-room/feature-stories/detail/vaccine-efficacy-effectiveness-and-protection

PHASE III: TESTING IN A TARGET POPULATION

Phase III

• Objectives:

- Protective efficacy
 - Define clinical trial endpoint

Test group

- Size: 1,000 150,0000
- Age group: Vaccine target population
- Vaccine locations

Phase III: Pfizer-BioNTech mRNA vaccine

• Objectives:

- Protective efficacy
 - COVID-19 disease symptoms as outlined by the FDA and laboratory tests
 - Dosage: placebo or 30 µg of vaccine, 21 days apart

Test group

- Size
 - 43,548 participants
 - 21,720 received vaccine, 21,728 received placebo
- Age group: 16 years old or older
- Vaccine locations:
 - Multi-site (United States, Brazil, Argentina, South Africa, Germany, and Turkey)

PHASE III : TESTING IN A TARGET POPULATION

mild-to-moderate pain at the injection site, fatigue, and headache." Table 2. Vaccine Efficacy against Covid-19 at Least 7 days after the Second Dose.* Posterior Vaccine Efficacy, % Probability (95% Credible (Vaccine Efficacy Efficacy End Point Placebo BNT162b2 Interval)‡ >30%)§ Surveillance Surveillance No. of Cases Time (n)† No. of Cases Time (n)† (N=18,198) (N=18,325) Covid-19 occurrence at least 7 days 2.214 (17,411) 162 95.0 (90.3–97.6) 8 2.222 (17,511) >0.9999 after the second dose in participants without evidence of infection (N=19,965) (N=20,172) Covid-19 occurrence at least 7 days 2.332 (18,559) 94.6 (89.9-97.3) 9 169 2.345 (18,708) >0.9999 after the second dose in participants with and those without evidence of infection

"The safety profile of BNT162b2 (vaccine) was characterized by short-term,

BLA SUBMISSION TO THE FDA

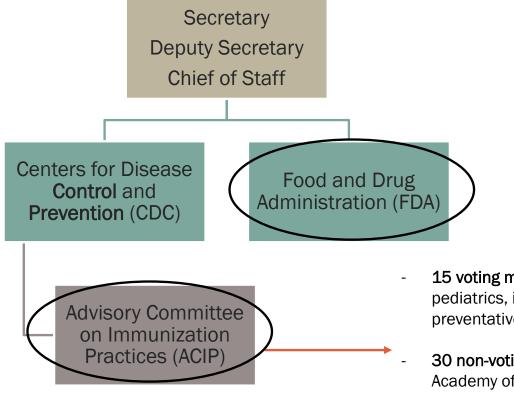
- "The Biologics License Application (B biologic product into interstate comr manufacture.
- Requirements for a BLA
 - Applicant information
 - Product/Manufacturing information
 - Pre-clinical studies
 - Clinical studies
 - Labeling
- BLA for Pfizer BioNTech vaccine approx

1.	 Deferred pediatric Study C4591001 to evaluate the safety and effectivenes COMIRNATY in children 12 years through 15 years of age. 	es of
	Final Protocol Submission: October 7, 2020	
	Study Completion: May 31, 2023	BLA APPROVAL
	Final Report Submission: October 31, 2023	August 23, 2021
2.	Deferred pediatric Study C4591007 to evaluate the safety and effectivenes COMIRNATY in infants and children 6 months to <12 years of age.	ss of
	Final Protocol Submission: February 8, 2021	
	Study Completion: November 30, 2023	ubmitted and received on ervice Act (PHS Act) for
	Final Report Submission: May 31, 2024	
3.	Deferred pediatric Study C4591023 to evaluate the safety and effectivenes COMIRNATY in infants <6 months of age.	SS of U.S. License No. 2229 to he provisions of section e of biological products. The
	Final Protocol Submission: January 31, 2022	on into interstate commerce, compliance with
	Study Completion: July 31, 2024	
	Final Report Submission: October 31, 2024	

PHASE IV: CLINICAL TRIALS

- Postlicensure surveillance, i.e., monitoring continues following FDA approval
 - Safety
 - Vaccine effectiveness
 - Population level effects
- More focused on epidemiological studies
- Trials can be on-going for some substudies
 - Trials are still on-going for groups of ages 12 and under for the Pfizer–BioNTech vaccine

HEALTH AND HUMAN SERVICES ORGANIZATIONAL STRUCTURE



- **15 voting members** selected by DHHS (experts in vaccinology, immunology, pediatrics, internal medicine, infectious diseases, virology, family medicine, preventative medicine, a consumer representative)
- **30 non-voting representatives** from professional organizations (American Academy of Pediatrics, American Academy of Family Physicians, American College of Nurse Midwives, American College of Obstetricians and Gynecologists, American College of Physicians)

WHAT HAPPENS WHEN NATIONAL AND GLOBAL HEALTH EMERGENCIES OCCUR?

EMERGENCY USE AUTHORIZATION (EUA)

Emergency Use Authorization of Medical Products and Related Authorities

Guidance for Industry and Other Stakeholders

U.S. Department of Health and Human Services Food and Drug Administration Office of the Commissioner Office of the Chief Scientist Office of Counterterrorism and Emerging Threats

January 2017

Procedural OMB Control No. 0910-0595 Expiration Date 08/31/2022 See additional PRA statement in section IX of this guidance.

SUMMARY:

The Secretary of Health and Human Services (HHS) is issuing this notice

pursuant to section 564 of the Federal Food, Drug, and Cosmetic (FD&C) Act. On

February 4, 2020, the Secretary determined pursuant to his authority under

section 564 of the FD&C Act that there is a public health emergency that has a

significant potential to affect national security or the health and security of

United States citizens living abroad and that involves a novel (new) coronavirus

(nCoV) first detected in Wuhan City, Hubei Province, China in 2019 (2019-

- A. EUA DECLARATION JUSTIF nCoV). On the basis of this determination, he also declared that circumstances exist justifying the authorization of emergency use of in vitro diagnostics for
 - 1. Determinations to Suppondet detection and/or diagnosis of this novel coronavirus (2019-nCoV) pursuant to

Before FDA may issue an EUA, the HHS ^{section 564} of the FD&C Act, subject to the terms of any authorization issued justifying the authorization (section 564(1 under that section. an "EUA declaration"), ¹² must be based of

DATES:

- 1. A determination by the Secret emergency, or a significant performance of a domain of a
- 2. A determination by the Secretary of Defense that there is a military emergency, or a significant potential for a military emergency, involving a heightened risk to United States military forces of attack with a CBRN agent(s);¹⁴
- 3. A determination by the Secretary of HHS that there is a public health emergency, or a significant potential for a public health emergency, that affects, or has a significant potential to affect, national security or the health and security of United States citizens living abroad, and that involves a CBRN agent or agents, or a disease or condition that may be attributable to such agent(s);¹⁵ or

https://www.fda.gov/media/97321/download

https://www.federalregister.gov/documents/2020/02/07/2020-02496/determination-of-public-health-emergency

EMERGENCY USE AUTHORIZATION (EUA)

PFIZER AND BIONTECH CONCLUDE PHASE 3 STUDY OF COVID-19 VACCINE CANDIDATE, MEETING ALL PRIM ENDPOINTS FDA Takes Key Action in Fig

Wednesday, November 18, 2020 - 06:59am

- Primary efficacy analysis demonstrates BNT162b2 to be 95% effective against COVID-19 beginning 28 days after th with 162 observed in the placebo group versus 8 in the vaccine group
- Efficacy was consistent across age, gender, race and ethnicity demographics; observed efficacy in adults over 65 ye
- Safety data milestone required by U.S. Food and Drug Administration (FDA) for Emergency Use Authorization (EUA
- Data demonstrate vaccine was well tolerated across all populations with over 43,000 participants enrolled; no service greater than 2% in frequency was fatigue at 3.8% and headache at 2.0%
- Companies plan to submit within days to the FDA for EUA and share data with other regulatory agencies around the
- The companies expect to produce globally up to 50 million vaccine doses in 2020 and up to 1.3 billion doses by the
- Pfizer is confident in its vast experience, expertise and existing cold-chain infrastructure to distribute the vaccine a

Emergency Use Authorization (EUA)

"allows FDA to help strengthen the nation's public health protections against <u>c</u>hemical, <u>b</u>iological, <u>r</u>adiological and <u>n</u>uclear (CBRN) threats including <u>infectious diseases</u> by facilitating the availability and use of <u>m</u>edical <u>c</u>ounter <u>m</u>easures (MCMs) needed during public health emergencies

FDA Takes Key Action in Fight By Issuing Emergency Use Authorization for First COVID-19 Vaccine

Action Follows Thorough Evaluation of Available Safety, Effectiveness, and Manufacturing Quality Information by FDA Career Scientists, Input from Independent Experts

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For Immediate Release: December 11, 2020

Español

Today, the U.S. Food and Drug Administration issued the first emergency use authorization (EUA) for a vaccine for the prevention of coronavirus disease 2019 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in individuals 16 years of age and older. The emergency use authorization allows the Pfizer-BioNTech COVID-19 Vaccine to be distributed in the U.S.

December 11, 2020

New cases: 692,116

Worldwide

USA

Deaths: 13,141

FACTORS THAT AFFECT SPEED OF VACCINE APPROVAL

- Adequate number of participants in Phase I/II/III trials
- Single or multicenter trials
- Cost
 - The CARES (Coronavirus Aid, Relief, and Economy Security) Act was used to fund vaccine research
 - Johnson & Johnson
 - AstraZeneca
 - Moderna
 - Novavax
- Collaboration across the globe
- Emergency Use Authorizations (EUA)
 - Use of Pfizer-BioNTech vaccine for ages 16 and above
 - Comirnaty is currently approved under an EUA for administration to 12–15-year-olds
 - Based on Phase III trials with 2,260 adolescents, with vaccine efficacy of 95%.
 - Comirnaty is still investigating the vaccine for children ages 12 and under.

CONCLUSION

- Vaccines are biological products that confer protection against symptomatic disease.
- Before authorization for use by the FDA, vaccines undergo rigorous safety, immunogenicity and protective efficacy tests in Phase I, Phase II and Phase III clinical trials.
- Vaccines are studied and monitored closely by the FDA, the ACIP and vaccine manufacturers even after licensure and approval for use.
- Emergency Authorization Use (EUA) can be declared by the Secretary of HHS during a public health crisis or a potential public health crisis to increase the speed with which vaccines are authorized by the FDA.